FORM NLRB-501 (3-21)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
15-CA-298677	July 5, 2022	

INSTRUCTIONS:

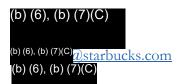
File an original with NLRB Regional Director for the region in	· · · · · · · · · · · · · · · · · · ·	irring.	
1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT		
a. Name of Employer Starbucks Corporation		b. Tel. No. 206-318-2212	
		c. Cell No.	
		f. Fax. No.	
d. Address (Street, city, state, and ZIP code) 4300 Ambassador Caffery Pkwy Lafayette, LA 70508	e. Employer Representative Howard Schultz, President and CEO (see attached for (b) (6), (b) (7)(C)	g. e-mail hschultz@starbucks.com	
		h. Number of workers employed 24	
i. Type of Establishment (factory, mine, wholesaler, etc.) retail	j. Identify principal product or service coffee		
The above-named employer has engaged in and is engaged	ging in unfair labor practices within the meaning of secti	ion 8(a), subsections (1) and	
(list subsections) 8(a)(3)		r Relations Act, and these unfair labor	
practices are practices affecting commerce within the mea		·	
meaning of the Act and the Postal Reorganization Act.	g		
2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices) Please see attachment 3. Full name of party filing charge (if labor organization, give full name, including local name and number) Workers United			
4a. Address (Street and number, city, state, and ZIP code 22 South 22nd St, Philadelphia PA 16103))	4b. Tel. No. 646 448 6414	
		4c. Cell No.	
		4d. Fax No.	
		4e. e-mail rminter@pjbwu.org	
5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization) SEIU			
I declare that I have read the abo	ARATION ve charge and that the statements ny knowledge and belief. Manuel Quinto-Pozos, Attorney	Tel. No. (512) 474-6200 Office, if any, Cell No.	
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fax No. (512) 474-7896	
Address 707 W. 34th St., Suite 3, Austin, TX 7870	Date Jul 5, 2022	e-mail mqp@ddollaw.com	

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary, however, failure to supply the information may cause the NLRB to decline to invoke its processes.

Attachment to Charge Against Employer – Starbucks Corporation NLRB Region 15 July 5, 2022

Section 1(e) – Employer Representative



Section 2. – Basis of the Charge

Within the past six months, the Employer, by its officers and agents, has interfered with, restrained, and coerced its employees in the exercise of their rights guaranteed in section 7 of the Act by creating a coercive and hostile environment meant to intimidate and prevent its employees from supporting Workers United, a labor organization, including taking the following actions:

- A. Engaging in interrogation of employees regarding their union activity and/or support;
- B. Engaging in surveillance of employees' union activity and/or support, and/or engaging in the appearance of surveillance;
- C. Soliciting grievances from employees;
- D. Modifying terms and conditions of employment (creation and/or enforcement of new rules) following employees' union and protected concerted activities, and/or threatening such modifications;
- E. Promised benefits to employees following employees' union activities;
- F. Denying employee (b) (6), (b) (7)(C) a promotion following union and protected concerted activities



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 15

Agency Website: www.nlrb.gov
600 South Maestri Place – 7th Floor
New Orleans, LA 70130-3413

Agency Website: www.nlrb.gov
Telephone: (504)589-6362
Fax: (504)589-4069

Download NLRB Mobile App

July 5, 2022

(b) (6), (b) (7)(C) (2) (5) (6), (b) (7)(C)

Starbucks Corporation 4300 Ambassador Caffery Parkway Lafayette, LA 70508

> Re: Starbucks Corporation Case 15-CA-298677

Dear (b) (6), (b) (7)(C)

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney BEAUFORD D. PINES whose telephone number is (504)321-9579. If this Board agent is not available, you may contact Officer in Charge CHRISTOPHER ROY whose telephone number is (901)425-7236.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly. Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate. Therefore, in addition to investigating the merits of the unfair labor practice allegations, the

Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

M. Kathleen McKinney Regional Director

M. Kathleen M. Kinney

MKM/cs

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

cc: Howard Schultz, President, and CEO Starbucks Corporation 2401 Utah Ave South Suite 80 Seattle, WA 98134

NATIONAL LABOR RELATIONS BOARD					
CASE NUMBER	NATIONAL LABOR RELATIONS BOARD				
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 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE

 NAME AND TITLE (Type or Print)
 SIGNATURE
 E-MAIL ADDRESS
 DATE

PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

UNITED STATES OF AMERICA

BEFORE THE NATIONAL LABOR RELATIONS BOARD

STARBUCKS CORPORATION	
Charged Party	
and	Case 15-CA-298677
WORKERS UNITED	
Charging Party	
AFFIDAVIT OF SERVICE OF CHARGE AGAIN	ST FMPI OVER
AFFIDAVIT OF SERVICE OF CHARGE AGAIN	STEWIFLOTER
I, the undersigned employee of the National Labor Re July 5, 2022, I served the above-entitled document(s) addressed to them at the following addresses:	
(b) (6), (b) (7)(C) @starbucks.com (b) (6), (b) (7)(C) Starbucks Corporation 4300 Ambassador Caffery Parkway Lafayette, LA 70508	
hschultz@starbucks.com Howard Schultz, President, and CEO Starbucks Corporation 2401 Utah Avenue South, Suite 80 Seattle, WA 98134	
Scalic, WA 90134	
July 5, 2022	Cristina Sanchez, Designated Agent of NLRB
Date	Name
-	/s/
	Signature



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 15 600 South Maestri Place – 7th Floor New Orleans, LA 70130-3413 Agency Website: www.nlrb.gov Telephone: (504)589-6362 Fax: (504)589-4069 Download NLRB Mobile App

July 5, 2022

mqp@ddollaw.com Manuel Quinto-Pozos, Attorney Deats Durst & Owen PLLC 707 West 34th Street, Suite 3 Austin, TX 78705

> Re: Starbucks Corporation Case 15-CA-298677

Dear Mr. Quinto-Pozos:

The charge that you filed in this case on July 05, 2022 has been docketed as case number 15-CA-298677. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney BEAUFORD D. PINES whose telephone number is (504)321-9579. If this Board agent is not available, you may contact Officer in Charge CHRISTOPHER ROY whose telephone number is (901)425-7236.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate. Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

M. Kathleen McKinney Regional Director

M. Kathleen M. Kinney

MKM/cs

cc: <u>rminter@pjbwu.org</u>

Richard A. Minter, Organizing Director

Workers United 22 South 22nd Street Philadelphia, PA 19103

Workers United	
and	CASE 16-CA-298677
Starbucks Corporation	
REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT Starbucks Corporation	IVE OF
IN THE ABOVE-CAPTIONED MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY	
IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY V DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS WILL RECEIVE ONLY COPIES OF CERTAIN
(REPRESENTATIVE INFOR	MATION)
Arrissa Meyer	
MAILING ADDRESS: Littler Mendelson, P.C., 2001 Ross Avenue	, Suite 1500, Lock Box 116, Dallas, Texas
E-MAIL ADDRESS: akmeyer@littler.com	
<i>OFFICE TELEPHONE NUMBER</i> : (214) 880-8100 ext. 8180	
CELL PHONE NUMBER: (972) 989-4860	_ _{FAX:} (214) 880-0181
SIGNATURE: S/ Arrissa Meyer	
DATE: 7-05-2022	

 $^{^{1}}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

STARBUCKS CORPORATION	
and WORKERS UNITED	CASE 15-CA-298677
EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATES STATES AS SECTION AS TECHNOLOGY.	TIVE OF
IN THE ABOVE-CAPTIONED MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY	
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(REPRESENTATIVE INFOR	MATION)
Amanda Ploof NAME:	
Littler Mendelson, P.C., 2001 Ross Avenue	e, Suite 1500, Dallas, TX 75201
E-MAIL ADDRESS: aploof@littler.com starbucksnlrb@littler.co	om
OFFICE TELEPHONE NUMBER: 214-880-8135	
CELL PHONE NUMBER: 945-201-5997	214-880-0181
SIGNATURE: /s/ Amanda Ploof DATE: 7/05/2022	

 $^{^{\}rm 1}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

Workers United		
and	CASE 15-CA-298677	
Starbucks Corporation		
REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT	IVE OF	
Starbucks Corporation		
IN THE ABOVE-CAPTIONED MATTER.		
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(REPRESENTATIVE INFOR	MATION)	
NAME: Steven Rahhal		
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E-MAIL ADDRESS: srahhal@littler.com		
OFFICE TELEPHONE NUMBER: (214) 880-8109		
	_{FAX:} (214) 292-8880	
signature: /s/ Steven Rahhal		
(Please sign in ink.) 07.05.2022		

 $^{^{\}rm 1}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

Workers United	
and	CASE 15-CA-298677
Starbucks Corporation	
REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT	IVE OF
IN THE ABOVE-CAPTIONED MATTER.	
Case No. 15-CA-298677; Workers United and Starbucks Corpor	ration
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY	
REFRESENTATIVE IS AN ATTORNET	
IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY VIDOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS VILL RECEIVE ONLY COPIES OF CERTAIN
(REPRESENTATIVE INFORM	MATION)
NAME: Ariel Perez	
MAILING ADDRESS: 1301 McKinney Street, Suite 1900, Houston	n, Texas 77010
E-MAIL ADDRESS: ArPerez@littler.com	
OFFICE TELEPHONE NUMBER: 713.652.4742	
CELL PHONE NUMBER: 713.829.7776	_{FAX:} 713.513.5961
SIGNATURE: /s/ Ariel Perez	
(Please sign in ink.)	
DATE: July 5, 2022	

 $^{^{1}}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.